

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP)
	:
Debtors.	: (Jointly Administered)
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**ORDER GRANTING DEBTORS' THIRTEENTH OMNIBUS  
OBJECTION TO CLAIMS (SUBSTANTIVELY DUPLICATIVE CLAIMS)**

Upon the thirteenth omnibus objection to claims, dated May 18, 2010 (the "Thirteenth Omnibus Objection to Claims"),<sup>1</sup> of Lehman Brothers Holdings Inc. and its affiliated debtors in the above-referenced chapter 11 cases, as debtors and debtors in possession (collectively, the "Debtors"), seeking entry of an order, pursuant to section 502(b) of title 11 of the United States Code (the "Bankruptcy Code"), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court's order approving procedures for the filing of omnibus objections to proofs of claim [Docket No. 6664], disallowing and expunging the Substantively Duplicative Claims on the grounds that such claims are duplicative of the corresponding Surviving Claims, all as more fully described in the Thirteenth Omnibus Objection to Claims; and due and proper notice of the Thirteenth Omnibus Objection to Claims having been provided, and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Thirteenth Omnibus Objection to Claims is in the best interests of the Debtors, their estates, creditors, and all parties in interest and that the legal and factual

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<sup>1</sup> Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Debtors' Thirteenth Omnibus Objection to Claims.

bases set forth in the Thirteenth Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

ORDERED that the relief requested in the Thirteenth Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that, pursuant to section 502(b) of the Bankruptcy Code, the claims listed on Exhibit 1 annexed hereto under the heading “*Claims to be Disallowed and Expunged*” (collectively, the “Substantively Duplicative Claims”) are disallowed and expunged; and it is further

ORDERED that the claims listed on Exhibit 1 annexed hereto under the heading “*Surviving Claims*” (collectively, the “Surviving Claims”) will remain on the claims register subject to the Debtors’ right to further object as set forth herein; and it is further

ORDERED that this Order supersedes all previous orders regarding the disposition of the Substantively Duplicative Claims listed on Exhibit 1 annexed hereto; and it is further

ORDERED that the Debtors have withdrawn without prejudice the Thirteenth Omnibus Objection to Claims with respect to the claims listed on Exhibit 2 annexed hereto; and it is further

ORDERED that all information included on and all documentation filed in support of any Substantively Duplicative Claims, including, but not limited to, derivative and guarantee questionnaires and supporting documentation, shall be treated as having been filed in support of the corresponding Surviving Claims; and it is further

ORDERED that nothing in this Order or the disallowance and expungement of the Substantively Duplicative Claims constitutes any admission or finding with respect to any of the Surviving Claims, and the Debtors' rights to object to the Surviving Claims on any basis is preserved; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to, (i) any claim listed on Exhibit A annexed to the Thirteenth Omnibus Objection to Claims under the heading "*Claims to be Disallowed and Expunged*" that is not listed on Exhibit 1 annexed hereto and (ii) any Surviving Claim; *provided, however*, that if the Court subsequently orders that a Surviving Claim is not appropriately duplicative of the corresponding Substantively Duplicative Claim, then the claims agent shall be authorized and directed to immediately reinstate such Substantively Duplicative Claim in these chapter 11 cases (the "Reinstated Claim") and the rights of all interested parties with respect to the Reinstated Claim shall be expressly reserved; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: New York, New York  
July 1, 2010

s/ James M. Peck  
HONORABLE JAMES M. PECK  
UNITED STATES BANKRUPTCY JUDGE

# EXHIBIT 1

## IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

## OMNIBUS OBJECTION 13: EXHIBIT 1 - SUBSTANTIVELY DUPLICATIVE CLAIMS

CLAIMS TO BE DISALLOWED					SURVIVING CLAIMS					
	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
1	ANDERSEN, SVEN SCHOPENHAUERSTRASS E 10 FRANKFURT AM MAIN, 60316 GERMANY	08/19/2009		8730	\$305,377.00	ANDERSEN, SVEN SCHOPENHAUERSTRASSE 10 FRANKFURT AM MAIN, 60316 GERMANY	08/19/2009	08-13555 (JMP)	8731	\$305,377.00
2	AXIS BROKERAGE, LP 19855 SOUTHWEST FREEWAY SUITE 250 SUGAR LAND, TX 77479	08/03/2009		7062	\$3,670.00	AXIS BROKERAGE, LP 19855 SOUTHWEST FREEWAY SUITE 250 SUGAR LAND, TX 77479	12/29/2008	08-13885 (JMP)	1491	\$3,670.00
3	BAER, MELVIN 89 NORTH STREET TOPSFIELD, MA 01983	07/15/2009		5351	\$23,850.00	BAER, MELVIN A. 89 NORTH STREET TOPSFIELD, MA 01983	07/15/2009	08-13555 (JMP)	5347	\$23,850.00
4	BDP LIMITED PO BOX 83 ORDNANCE HOUSE 31 PIER ROAD JERSEY, JE4 8PW UNITED KINGDOM	10/19/2009	08-13555 (JMP)	41338	\$19,200,000.00	BDP LIMITED PO BOX 83 ORDNANCE HOUSE 31 PIER ROAD JERSEY, JE4 8PW UNITED KINGDOM	10/19/2009	08-13555 (JMP)	41335	\$19,200,000.00
5	BIGOTT, CARLOS 1111 BRICKELL AVE, SUITE 1400 (CREDIT SUISSE) MIAMI, FL 33131	07/17/2009		5510	\$10,625.00	BIGOTT, CARLOS 1111 BRICKELL AVE, SUITE 1400 (CREDIT SUISSE) MIAMI, FL 33131	10/30/2008	08-13555 (JMP)	437	\$10,625.00

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NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
6 BRVELL, NATASHA 27 CROCKERTON ROAD LONDON, SW17 7HE UNITED KINGDOM	10/20/2009	08-13555 (JMP)	42717	\$8,224.00	BRVELL, NATASHA 27 CROCKERTON ROAD LONDON, SW17 7HE UNITED KINGDOM	10/20/2009	08-13555 (JMP)	42710	\$8,224.00
7 CORNICK, JACK A 707 ALDER ST. EDMONDS, WA 98020	07/23/2009		5967	\$50,280.00	CORNICK, JACK A. 707 ALDER ST. EDMONDS, WA 98020	01/26/2009	08-13555 (JMP)	1950	\$50,280.00
8 DEFINED RETURNS LIMITED (IN ADMINSTRATION) C/O GRAMT THORNTON UK LLP 30 FINSBURY SQUARE LONDON, EC2P 2YO UNITED KINGDOM	10/30/2009	08-13555 (JMP)	59118	\$62,011,874.40	DEFINED RETURNS LIMITED ON BEHALF OF UNDERLYING INVESTORS (IN ADMINISTRATION) C/O GRANT THORNTON UK LLP 30 FINSBURY SQUARE LONDON, EC2P 2YO UNITED KINGDOM	10/30/2009	08-13555 (JMP)	59120	\$71,911,874.40
9 DIRECT FX LIMITED P.O. BOX 11897 WELLINGTON, NEW ZEALAND, 6142 NEW ZEALAND	09/09/2009		10980	Undetermined	DIRECT FX DIRECT FX LIMITED P.O. BOX 11897 WELLINGTON, 6142 NEW ZEALAND	09/09/2009	08-13901 (JMP)	10979	\$27,067.83
10 GOLLIN, MARK FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE SURREY COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15131	\$792,128.00	GOLLIN, MARK D. FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE SURREY COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15134	\$792,128.00

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NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
11 GOLLIN, MARK D FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15133	\$792,128.00	GOLLIN, MARK D. FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE SURREY COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15134	\$792,128.00
12 GOLLIN, MARK D. FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15130	\$792,128.00	GOLLIN, MARK D. FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE SURREY COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15134	\$792,128.00
13 GOLLIN, MARK D. FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE COBHAM, SURREY, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15132	\$792,128.00*	GOLLIN, MARK D. FIRTH HOUSE, HATCHFORD PARK OCKHAM LANE SURREY COBHAM, KT11 1LR UNITED KINGDOM	09/17/2009	08-13555 (JMP)	15134	\$792,128.00
14 HERSHMAN HOLDINGS LLC 1 HOLLOW LANE LAKE SUCCESS, NY 11042- 1215	09/17/2009		15752	\$87,525.00	HERSHMAN HOLDINGS LLC 1 HOLLOW LANE LAKE SUCCESS, NY 11042- 1215	01/29/2009	08-13555 (JMP)	2152	\$87,525.00

\* - Indicates claim contains unliquidated and/or undetermined amounts

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CLAIMS TO BE DISALLOWED					SURVIVING CLAIMS					
	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
15	HEVER CASTLE LTD HEVER EDENBRIDGE, TN8 7NG UNITED KINGDOM	10/02/2009		36114	\$5,372.10	HEVER CASTLE LTD HEVER EDENBRIDGE, TN8 7NG UNITED KINGDOM	10/02/2009		36113	\$5,372.10
16	HORNE, CATHY 971 FAIRMONT PARK DRIVE DACULA, GA 30019-6546	09/18/2009		18357	Undetermined	HORNE, CATHY 971 FAIRMONT PARK DRIVE DACULA, GA 30019	09/18/2009	08-13555 (JMP)	18359	\$14,269.22
17	JANKOWSKI, JANICE A. 1445 MAPLE STREET GLENVIEW, IL 60025	09/11/2009		11529	\$3,714.00*	JANKOWSKI, JANICE A. 1445 MAPLE STREET GLENVIEW, IL 60025	09/11/2009	08-13555 (JMP)	11530	\$3,714.00*
18	LEHMAN BROTHERS SECURITIES N.V. MICHIEL GORSIRA, AS BANKRUPTCY TRUSTEE FOR LEHMAN BROTHERS SECURITIES N.V. C/O VANEPS KUNNERMAN VANDOOME CURACAO, NETHERLANDS ANTILLES	10/30/2009	08-13555 (JMP)	58654	\$100,589,377.71*	LEHMAN BROTHERS SECURITIES N.V. MICHIEL GORSIRA, AS BANKRUPTCY TRUSTEE FOR LEHMAN BROTHERS SECURITIES N.V. C/O VANEPS KUNNERMAN VANDOOME CURACAO, NETHERLANDS	10/30/2009	08-13555 (JMP)	58655	\$272,026,097.82*

\* - Indicates claim contains unliquidated and/or undetermined amounts



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CLAIMS TO BE DISALLOWED						SURVIVING CLAIMS				
	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
19	LEHMAN BROTHERS TREASURY CO. B.V. RUTGER SCHIMMELPENNINCK AND FREDERIC VERHOEVEN AS BANKRUPTCY TRUSTEES ("CURATOREN") FOR LEHMAN BROTHERS TREASURY CO. B.V. AMSTERDAM, NL-1070 NETHERLANDS	10/30/2009	08-13555 (JMP)	58610	\$37,566,349.39*	LEHMAN BROTHERS TREASURY CO. B.V. RUTGER SCHIMMELPENNINCK AND FREDERIC VERHOEVEN AS BANKRUPTCY TRUSTEES ("CURATOREN") FOR LEHMAN BROTHERS TREASURY C/O HOUTHOFF BURUMA N.V. AMSTERDAM, NL-1070 NETHERLANDS	10/30/2009	08-13555 (JMP)	58611	\$38,413,246.93*
20	NEWMAN, MARK H FLAT 7 44 SLOANE STREET LONDON, SW1X 9LU UNITED KINGDOM	09/18/2009		18905	\$9,150,000.00	NEWMAN, MARK H FLAT 7 44 SLOANE STREET LONDON, SW1X 9LU UNITED KINGDOM	09/18/2009	08-13555 (JMP)	18339	\$9,150,000.00
21	NEWMAN, MARK H FLAT 7 44 SLOANE STREET LONDON, GT LON, SW1X 9LU UNITED KINGDOM	09/18/2009		18340	Undetermined	NEWMAN, MARK H FLAT 7 44 SLOANE STREET LONDON, SW1X 9LU UNITED KINGDOM	09/18/2009	08-13555 (JMP)	18339	\$9,150,000.00

\* - Indicates claim contains unliquidated and/or undetermined amounts

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	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
22	PALOMA INDUSTRIES NOGATA PLANT LTD C/O AUTUMN D. HIGHSMITH 2323 VICTORY AVE., STE 700 DALLAS, TX 75219-7673	09/18/2009	08-13555 (JMP)	16849	\$16,000,000.00*	PALOMA INDUSTRIES NOGATA PLANT LTD C/O AUTUMN D. HIGHSMITH 2323 VICTORY AVE., STE 700 DALLAS, TX 75219-7673	09/18/2009	08-13555 (JMP)	16850	\$16,000,000.00*
23	SUERTH, MICHAEL CLEMENS-AUGUST-PLATZ 10 MUENSTER, 48167 GERMANY	10/28/2009		50244	\$7,462.50	SUERTH, MICHAEL CLEMENS-AUGUST-PLATZ 10 MUENSTER, 48167 GERMANY	10/28/2009	08-13555 (JMP)	50243	\$7,462.50
24	TUOSTO, JOHN 78 NOTTINGHAM WAY JACKSON, NJ 08527	09/22/2009		32706	\$299,996.00	TUOSTO, JOHN 78 NOTTINGHAM WAY JACKSON, NJ 08527	09/22/2009	08-13555 (JMP)	32705	\$299,996.00
25	VAN HOLTHE, SAMUEL L LEIGH HOUSE WITHERENDEN HILL BURWASH ETCHINGHAM, TN19 7EA UNITED KINGDOM	09/18/2009		17757	\$2,148,649.69	VAN HOLTHE, SAMUEL L TREEMANS TREEMANS ROAD HORSTED KEYNES,W SUSX, RH17 7EA UNITED KINGDOM	09/18/2009		17793	\$2,148,649.69
26	VAN HOLTHE, SAMUEL L LEIGH HOUSE WITHERENDEN HILL BURWASH ETCHINGHAM, TN19 7EA UNITED KINGDOM	09/18/2009	08-13555 (JMP)	17794	\$2,148,649.69	VAN HOLTHE, SAMUEL L TREEMANS TREEMANS ROAD HORSTED KEYNES,W SUSX, RH17 7EA UNITED KINGDOM	09/18/2009		17793	\$2,148,649.69

\* - Indicates claim contains unliquidated and/or undetermined amounts

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CLAIMS TO BE DISALLOWED					SURVIVING CLAIMS					
	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
27	VAN HOLTHE,SAMUEL L LEIGH HOUSE WITHERENDEN HILL BURWASH ETCHINGHAM, E.SUSX, TN19 7EA UNITED KINGDOM	09/18/2009		17795	\$2,148,649.69	VAN HOLTHE, SAMUEL L TREEMANS TREEMANS ROAD HORSTED KEYNES,W SUSX, RH17 7EA UNITED KINGDOM	09/18/2009		17793	\$2,148,649.69
28	WANG, ROBERTO Y 52 WEST HOMESTEAD AVENUE PALISADES PARK, NJ 07650	09/04/2009		10332	\$7,686.10	WANG, ROBERTO Y 52 WEST HOMESTEAD AVENUE PALISADES PARK, NJ 07650	09/04/2009	08-13555 (JMP)	10331	\$7,686.10
29	WEBSTER, KEITH W. 6735 ALTAMOR DRIVE LOS ANGELES, CA 90045	07/20/2009		5753	\$112,786.22	WEBSTER, KEITH W. 6735 ALTAMOR DRIVE LOS ANGELES, CA 90045	07/20/2009	08-13555 (JMP)	5754	\$112,786.22
				TOTAL	\$255,058,630.49					

# EXHIBIT 2

## IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

## OMNIBUS OBJECTION 13: EXHIBIT 2 - SUBSTANTIVELY DUPLICATIVE CLAIMS - WITHDRAWN OBJECTIONS

CLAIMS ORIGINALLY PROPOSED TO BE DISALLOWED  
(OBJECTIONS WITHDRAWN)

## CLAIMS ORIGINALLY PROPOSED TO SURVIVE

CLAIMS ORIGINALLY PROPOSED TO BE DISALLOWED (OBJECTIONS WITHDRAWN)					CLAIMS ORIGINALLY PROPOSED TO SURVIVE					
	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CASE NUMBER	CLAIM #	TOTAL CLAIM DOLLARS
1	BETHKE, FRAU JNGEBURG GERBSTEDTER STR 45. HETTSTEDT, 06333 GERMANY	08/05/2009		7375	Undetermined	BETHKE, FRAU JNGEBURG GERBSTEDTER STR. 45 HETTSTEDT, 06333 GERMANY	03/24/2009	08-13555 (JMP)	3480	Undetermined
					TOTAL					
					\$0.00					